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Teresa A. Wert (Signature)

FROM: Teresa A. Wert (Office of David K. Mattheis)

Fax No. 513-634-3612

Phone No. 513-634-3114

Listed below are the item(s) being submitted with this Certificate of Transmission:**

1) Information Disclosure Statement (4 pgs.) (orig. & copy)

Number of Pages Including this Page: 10

2) Form PTO-SB08A (1 pg.)

Inventor(s): Michael J. Franz, et al.

3)

S.N.: 10/771,779

4)

Filed: February 4, 2004

5)

Case: 9506

Comments:

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Signature	<u>Teresa A. West</u>

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P&G Case 9506

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of: :
Michael J. Franz, et al. : Confirmation No.
Serial No.: 10/771,779 : Group Art Unit
Filed: February 4, 2004 : Examiner

For: A Method Of Controlling Tension In A Moving Web Material

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, record is being made on the attached Form PTO/SB08 of documents which the Patent Office may wish to consider in connection with examination of the above-identified patent application. It is respectfully requested that the cited documents be carefully considered by the Examiner and made of record in this case. As provided in §1.97(g), no representation is made or intended that a thorough art search was made. As provided in 37 C.F.R. §1.97(h), this Information Disclosure Statement does not constitute an admission of any kind, and specifically is not an admission that the documents listed on attached form PTO/SB08 are, or are considered to be, material to the patentability of the above-identified patent application, as defined in 37 C.F.R. §1.56(b).

1. ☒ 37 C.F.R. §1.97(b)(1) - U.S. Direct (use when filing IDS with nonprovisional patent application, or with Request for Continued Examination (RCE); or within 3 months of filing a nonprovisional patent application)

This information disclosure statement, submitted under 37 C.F.R. §1.97(b)(1), is being filed with the patent application, with a Request for Continued Examination or within three months of the filing date of a national application. Therefore, no fee is believed to be due.

2. ☐ **37 C.F.R. §1.97(b)(3) - (use when filing IDS more than 3 months after filing a nonprovisional patent application, but prior to receipt of first Office Action)**

This information disclosure statement is being submitted under 37 C.F.R. §1.97(b)(3). Applicants have not received an Office Action on the merits in the present application. Therefore, no fee is believed to be due. However, in the event that this paper is crossing in the mail with a first Office Action on the merits, authorization is hereby given to charge the required fee pursuant to 37 C.F.R. §1.97(c) and 37 C.F.R. §1.17(p) to Deposit Account No. 16-2480 in the name of The Procter & Gamble Company. A duplicate of this letter (or a fee transmittal form) is enclosed to facilitate charging of the fee, if necessary.

3. ☐ **37 C.F.R. §1.97(b)(4) - (use when filing IDS prior to receipt of first Office Action after the filing of a Request for Continued Examination (RCE) under §1.114)**

This information disclosure statement is being submitted under 37 C.F.R. §1.97(b)(4). Applicants have not received a first Office Action after filing a Request For Continued Examination (RCE). Therefore, no fee is believed to be due. However, in the event that this paper is crossing in the mail with a first Office Action on the merits, authorization is hereby given to charge the required fee pursuant to 37 C.F.R. §1.97(c) and 37 C.F.R. §1.17(p) to Deposit Account No. 16-2480 in the name of The Procter & Gamble Company. A duplicate of this letter (or a fee transmittal form) is enclosed to facilitate charging of the fee, if necessary.

4. ☐ **37 C.F.R. §1.97(c) with fee payment - (use when filing IDS after receipt of first Office Action, and before receipt of Final Office Action, Notice of Allowance, or an action that otherwise closes prosecution)**

This information disclosure statement is being submitted under 37 C.F.R. §1.97(c). Applicant(s) have not received a final action under 37 C.F.R. §1.113, a notice of allowance under 37 C.F.R. §1.311, or an action that otherwise closes prosecution in the application (e.g., *Ex parte Quayle*) as of the date of this submission. Applicant(s) elect to pay the fee set forth in 37 C.F.R. §1.17(p). Please charge the fee set forth in 37 C.F.R. §1.17(p) to Deposit Account Number 16-2480 in the name of The Procter & Gamble Company. A duplicate copy of this letter (or a fee transmittal form) is enclosed to facilitate the charging of the fee.

5. ☐ **Information to be Considered with Continued Prosecution Application (CPA) Filing (use when filing IDS with a Continued Prosecution Application (CPA) for Design Case).** This information disclosure statement is being filed with a Continued Prosecution Application (CPA) filed under 37 C.F.R. 1.53(d).

ADDITIONAL ITEMS TO BE NOTED BY THE EXAMINER:

☐ (1) (For use with applications filed prior to or on June 30, 2003.) Copies of the cited documents are enclosed.

OR

☐ (2) (For use with applications filed after June 30, 2003.) In accordance with 37 C.F.R. §1.98(a)(2), Applicants are submitting copies of foreign patent documents and non-patent literature.

OR

☐ (3) All of the cited references were previously cited by or submitted to the USPTO in prior application Case No. ____, U.S. Patent Application Serial No. __, filed __. Applicants claim priority to said application under 35 U.S.C. §120. Accordingly, copies of previously submitted references are not provided with this Statement, pursuant to 37 C.F.R. §1.98(d). It is respectfully requested that the cited documents be carefully considered by the Examiner and made of record in this case.

OR

☐ (4) Copies of all said documents, except Cite Numbers ____, were submitted and considered in parent application U.S. Patent Application Serial No. ____, filed _____. Applicant(s) claim priority to said application under 35 U.S.C. §120. Accordingly, copies of previously submitted references are not provided with this Statement, pursuant to 37 C.F.R. §1.98(d). Copies of references not previously submitted are enclosed. It is respectfully requested that the cited documents be carefully considered by the Examiner and made of record in this case.

☐ (5) Pursuant to 37 C.F.R. §1.98(c), a concise explanation of the relevance of each cited reference that is not in the English language is provided.

☐ (6) Applicants also respectfully request the Examiner to consider and make of record the co-pending applications listed on the attached page.

☐ Additional information is attached.

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Respectfully submitted,

FOR: MICHAEL J. FRANZ, ET AL.

By



David K. Mattheis
Attorney for Applicant(s)
Registration No. 48,683
(513) 634-7419

Date: May 4, 2004
Customer No. 27752
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SHEET 1 of 1

PTO/SB08A (08-03)
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COMPLETE IF KNOWN

COMPLETE IF KNOWN	
Application Number	10/771,779
Confirmation Number	
Filing Date	February 4, 2004
First Named Inventor	Michael J. Franz, et al.
Group Art Unit	
Examiner Name	
Attorney Docket Number	9506

U. S. PATENT DOCUMENTS

EXAMINER INITIALS*	Cite No. ¹	DOCUMENT NUMBER	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			
		US-1,851,895	03-29-1932	Cornet	
		US-2,634,607	04-14-1953	Lawson	
		US-3,366,299	01-30-1968	Baer et al.	
		US-3,718,037	02-27-1973	Stringer et al.	
		US-4,866,984	09-19-1989	Houghton	
		US-6,517,679	02-11-2003	Mustonen et al.	
		US-2003/0078684	04-24-2003	Martin et al.	
		US-2003/0115946	06-26-2003	Beuther et al.	
		US-2003/0156344	08-21-2003	Chliwnyj et al.	
		US-			
		US-			
		US-			
		US-			
		US-			

FOREIGN PATENT DOCUMENTS

[illegible]

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.
 *Applicant's unique citation designation number (optional). ²See MPEP 609.01, II, A, 1. ³See MPEP 609.01, II, A, 2. ⁴See MPEP 609.01, II, A, 3. ⁵See MPEP 609.01, II, A, 4. ⁶See MPEP 609.01, II, A, 5. ⁷See MPEP 609.01, II, A, 6. ⁸See MPEP 609.01, II, A, 7. ⁹See MPEP 609.01, II, A, 8. ¹⁰See MPEP 609.01, II, A, 9. ¹¹See MPEP 609.01, II, A, 10. ¹²See MPEP 609.01, II, A, 11. ¹³See MPEP 609.01, II, A, 12. ¹⁴See MPEP 609.01, II, A, 13. ¹⁵See MPEP 609.01, II, A, 14. ¹⁶See MPEP 609.01, II, A, 15. ¹⁷See MPEP 609.01, II, A, 16. ¹⁸See MPEP 609.01, II, A, 17. ¹⁹See MPEP 609.01, II, A, 18. ²⁰See MPEP 609.01, II, A, 19. ²¹See MPEP 609.01, II, A, 20. ²²See MPEP 609.01, II, A, 21. ²³See MPEP 609.01, II, A, 22. ²⁴See MPEP 609.01, II, A, 23. ²⁵See MPEP 609.01, II, A, 24. ²⁶See MPEP 609.01, II, A, 25. ²⁷See MPEP 609.01, II, A, 26. ²⁸See MPEP 609.01, II, A, 27. ²⁹See MPEP 609.01, II, A, 28. ³⁰See MPEP 609.01, II, A, 29. ³¹See MPEP 609.01, II, A, 30. ³²See MPEP 609.01, II, A, 31. ³³See MPEP 609.01, II, A, 32. ³⁴See MPEP 609.01, II, A, 33. ³⁵See MPEP 609.01, II, A, 34. ³⁶See MPEP 609.01, II, A, 35. ³⁷See MPEP 609.01, II, A, 36. ³⁸See MPEP 609.01, II, A, 37. ³⁹See MPEP 609.01, II, A, 38. ⁴⁰See MPEP 609.01, II, A, 39. ⁴¹See MPEP 609.01, II, A, 40. ⁴²See MPEP 609.01, II, A, 41. ⁴³See MPEP 609.01, II, A, 42. ⁴⁴See MPEP 609.01, II, A, 43. ⁴⁵See MPEP 609.01, II, A, 44. ⁴⁶See MPEP 609.01, II, A, 45. ⁴⁷See MPEP 609.01, II, A, 46. ⁴⁸See MPEP 609.01, II, A, 47. ⁴⁹See MPEP 609.01, II, A, 48. ⁵⁰See MPEP 609.01, II, A, 49. ⁵¹See MPEP 609.01, II, A, 50. ⁵²See MPEP 609.01, II, A, 51. ⁵³See MPEP 609.01, II, A, 52. ⁵⁴See MPEP 609.01, II, A, 53. ⁵⁵See MPEP 609.01, II, A, 54. ⁵⁶See MPEP 609.01, II, A, 55. ⁵⁷See MPEP 609.01, II, A, 56. ⁵⁸See MPEP 609.01, II, A, 57. ⁵⁹See MPEP 609.01, II, A, 58. ⁶⁰See MPEP 609.01, II, A, 59. ⁶¹See MPEP 609.01, II, A, 60. ⁶²See MPEP 609.01, II, A, 61. ⁶³See MPEP 609.01, II, A, 62. ⁶⁴See MPEP 609.01, II, A, 63. ⁶⁵See MPEP 609.01, II, A, 64. ⁶⁶See MPEP 609.01, II, A, 65. ⁶⁷See MPEP 609.01, II, A, 66. ⁶⁸See MPEP 609.01, II, A, 67. ⁶⁹See MPEP 609.01, II, A, 68. ⁷⁰See MPEP 609.01, II, A, 69. ⁷¹See MPEP 609.01, II, A, 70. ⁷²See MPEP 609.01, II, A, 71. ⁷³See MPEP 609.01, II, A, 72. ⁷⁴See MPEP 609.01, II, A, 73. ⁷⁵See MPEP 609.01, II, A, 74. ⁷⁶See MPEP 609.01, II, A, 75. ⁷⁷See MPEP 609.01, II, A, 76. ⁷⁸See MPEP 609.01, II, A, 77. ⁷⁹See MPEP 609.01, II, A, 78. ⁸⁰See MPEP 609.01, II, A, 79. ⁸¹See MPEP 609.01, II, A, 80. ⁸²See MPEP 609.01, II, A, 81. ⁸³See MPEP 609.01, II, A, 82. ⁸⁴See MPEP 609.01, II, A, 83. ⁸⁵See MPEP 609.01, II, A, 84. ⁸⁶See MPEP 609.01, II, A, 85. ⁸⁷See MPEP 609.01, II, A, 86. ⁸⁸See MPEP 609.01, II, A, 87. ⁸⁹See MPEP 609.01, II, A, 88. ⁹⁰See MPEP 609.01, II, A, 89. ⁹¹See MPEP 609.01, II, A, 90. ⁹²See MPEP 609.01, II, A, 91. ⁹³See MPEP 609.01, II, A, 92. ⁹⁴See MPEP 609.01, II, A, 93. ⁹⁵See MPEP 609.01, II, A, 94. ⁹⁶See MPEP 609.01, II, A, 95. ⁹⁷See MPEP 609.01, II, A, 96. ⁹⁸See MPEP 609.01, II, A, 97. ⁹⁹See MPEP 609.01, II, A, 98. ¹⁰⁰See MPEP 609.01, II, A, 99. ¹⁰¹See MPEP 609.01, II, A, 100. ¹⁰²See MPEP 609.01, II, A, 101. ¹⁰³See MPEP 609.01, II, A, 102. ¹⁰⁴See MPEP 609.01, II, A, 103. ¹⁰⁵See MPEP 609.01, II, A, 104. ¹⁰⁶See MPEP 609.01, II, A, 105. ¹⁰⁷See MPEP 609.01, II, A, 106. ¹⁰⁸See MPEP 609.01, II, A, 107. ¹⁰⁹See MPEP 609.01, II, A, 108. ¹¹⁰See MPEP 609.01, II, A, 109. ¹¹¹See MPEP 609.01, II, A, 110. ¹¹²See MPEP 609.01, II, A, 111. ¹¹³See MPEP 609.01, II, A, 112. ¹¹⁴See MPEP 609.01, II, A, 113. ¹¹⁵See MPEP 609.01, II, A, 114. ¹¹⁶See MPEP 609.01, II, A, 115. ¹¹⁷See MPEP 609.01, II, A, 116. ¹¹⁸See MPEP 609.01, II, A, 117. ¹¹⁹See MPEP 609.01, II, A, 118. ¹²⁰See MPEP 609.01, II, A, 119. ¹²¹See MPEP 609.01, II, A, 120. ¹²²See MPEP 609.01, II, A, 121. ¹²³See MPEP 609.01, II, A, 122. ¹²⁴See MPEP 609.01, II, A, 123. ¹²⁵See MPEP 609.01, II, A, 124. ¹²⁶See MPEP 609.01, II, A, 125. ¹²⁷See MPEP 609.01, II, A, 126. ¹²⁸See MPEP 609.01, II, A, 127. ¹²⁹See MPEP 609.01, II, A, 128. ¹³⁰See MPEP 609.01, II, A, 129. ¹³¹See MPEP 609.01, II, A, 130. ¹³²See MPEP 609.01, II, A, 131. ¹³³See MPEP 609.01, II, A, 132. ¹³⁴See MPEP 609.01, II, A, 133. ¹³⁵See MPEP 609.01, II, A, 134. ¹³⁶See MPEP 609.01, II, A, 135. ¹³⁷See MPEP 609.01, II, A, 136. ¹³⁸See MPEP 609.01, II, A, 137. ¹³⁹See MPEP 609.01, II, A, 138. ¹⁴⁰See MPEP 609.01, II, A, 139. ¹⁴¹See MPEP 609.01, II, A, 140. ¹⁴²See MPEP 609.01, II, A, 141. ¹⁴³See MPE

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(Revised for P&G use 10/8/2003)